



CONTINGENT

E V E N T S

Integrity Solutions

Quick Facts

*“Innovative
integrity solutions”*

Overview:

- Powerful tool to improve corporate governance
- Benchmarked to Australian Standard (AS 8004-2003)
- Assists in meeting ASX Principles of Good Corporate Governance
- Auditable processes

Benefits:

- Rapid deployment
- Web based
- Easy to use
- Can be used anywhere with internet access
- SSL encrypted
- E-mail/SMS notifications to action staff
- Notifications not sent to action staff involved
- Allows continued communication with anonymous disclosers
- Audit trail
- Full suite of reports available from console

Customisable:

- Established categories of customisable conduct
- Customised landing page
- Links to your relevant policies

*“Maintaining
corporate integrity”*

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Product Overview: www.disclose.com.au

Voluntary Disclosure Management

What Problem?

You haven't seen the need to improve your corporate governance. Business is going well and profits are up.

One day you receive an anonymous letter from an employee disclosing that 15 of your 27 cash handling staff have been taking advantage of a weakness in the sales system by taking cash from sales for most of the last 10 years totalling well over \$1 Mil.

You now have no option but to call in the police. A very public criminal investigation ensues. The damage to your business reputation and good will has been immeasurable. You now need to improve your cash handling system, train your staff and deal with the media fall out so that you can get on with business. Don't think this can't happen - it did.

You ask yourself: What could I have done to identify this system problem and how could I have become aware of it sooner?

The Answer

To identify the potential problem you would have needed to have a Fraud Risk Analysis conducted of your business. To become aware of the problem sooner you should have considered implementing a well supported whistleblowing (Voluntary Disclosure) reporting mechanism that staff and others could have easy access too if they wanted to report something that they felt was not right.

Disclosure Reporting Mechanism

Contingent Events offers an innovative voluntary disclosure management website, www.disclose.com.au. The website, together with the related policy development and implementation, offer an unparalleled ability to encourage and manage disclosures whilst maintaining confidentiality.

Logon to the secure website to manage the process and post feedback and or requests for further information to the discloser, even if the discloser has chosen to remain anonymous.

Receive automated e-mails and SMS messages advising of disclosures.

The website is intuitive. A staff member nominated to manage disclosures, will not be notified of a disclosure if they are alleged to be involved.

Service Levels

In recognition that *“one size does not fit all”* we offer access to our disclosure website with three distinct levels of service:

Self-service – all disclosures are accessed and managed by your organisation.

Assisted-service – all disclosures accessed and notified to you by Contingent Events. Disclosures are forwarded to your organisation under cover of a letter outlining recommended courses of action.

Full-service – all disclosures are accessed and managed by Contingent Events. Any matters requiring investigation are attended to by Contingent Events in consultation with your organisation, and in accordance with a service level agreement.

Disclosures are not limited to your staff: Consider the merits of encouraging contractors, clients and suppliers to lodge disclosures if they notice something that is not right. Place the web address on your pay slips, tax invoices and purchase orders. Place direct links from your corporate intranet and internet site to your landing page. We can develop and enhance the most appropriate solution for your needs.

Policy Development & Training

Maximise your return on this disclosure management reporting system and let Contingent Events develop your code of conduct, disclosure and disclosure retaliation policies. We also provide staff awareness and fraud and ethics training.

... and yes we do offer a Fraud Risk Assessment service.

Enhance your corporate image in the market place and gain the advantage that www.disclose.com.au can offer.

www.contingentevents.com.au



Product Overview:

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Voluntary Disclosure Study

The 2007 Corporate Governance and Compliance Hotline Benchmarking Report, a joint initiative by both the Chief Security Officer Executive Council and the Association of Certified Fraud Examiners, represents the most comprehensive examination of organisational disclosure activity available to date. The report identified the following with respect to voluntary disclosure systems used in organisations:

71% of Disclosers will not notify management of an issue prior to making a disclosure

65% of the disclosures made were serious enough to warrant an investigation and 45% resulted in corrective action taken

36% of Disclosers chose to remain anonymous when disclosing fraud and corruption issues alone

53% of reports were made anonymously

The Outcome

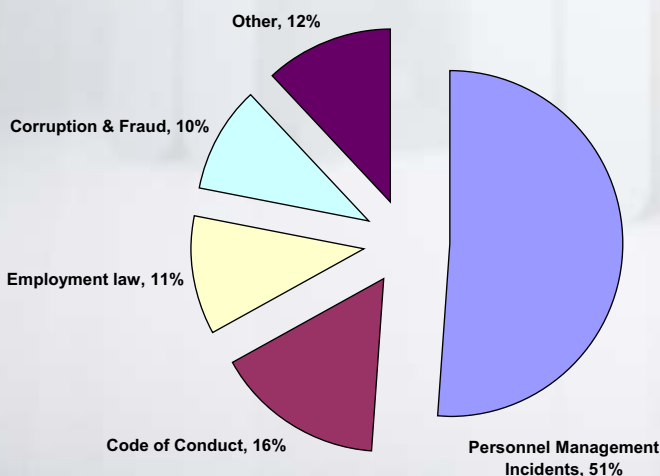
The results indicate overwhelmingly that voluntary disclosure systems do work. They are exceedingly effective for monitoring and measuring organisational health.

In today's highly regulated and complex market place, it is good business for companies to promote programs, such as voluntary disclosure systems, which assist good corporate governance.

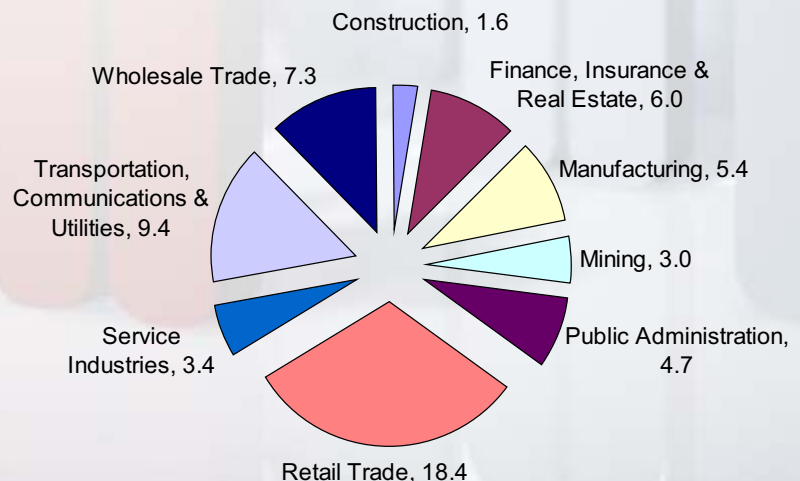
In choosing a voluntary disclosure system, the study demonstrates that it needs to cater for anonymous disclosures if it is to be effective. Our voluntary disclosure management system will not only record anonymous disclosures, but will allow you to maintain continued contact whilst still providing anonymity.

Our system can prove more attractive to people that are adverse to the social engineering practices that often exist when Disclosers make a traditional telephone report.

Most Commonly Reported Incidents Across All Industries



Disclosure Frequency Per 1,000 Employees Per Annum 2003 - 2006



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